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09/806636

YAMAGUCHI et al - national stage application of
PCT/JP99/05451 including Transmittal Letter to the US
Designated/Elected Office Concerning a Filing Under 35 USC 371 (in
duplicate), English translation of international application (29 pages of
spec., 10 claims (2 pages), abstract (1) page) unexecuted Declaration,
copy of Request Form in Japanese & English (4 sheets), Information
Disclosure Statement, form PTO-1449 (1 sheet), 2 references, copy of
Int'l Search Report mailed 12/14/99, Preliminary Amendment, check for
\$932.00 to cover filing fee, filed via Express Mail on April 3, 2001,
Express Mail No. EK 729209695 US

FJIN-109 due 4/6/01

JC07 Rec'd PCT/PTO 03 APR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : YAMAGUCHI et al.
Serial No. : National Stage Application of
PCT/JP99/05451
Filed : Concurrently Herewith
For : CONTROLLED-RELEASE ORAL PREPARATION OF
ESCULETIN AND ITS DERIVATIVES

INFORMATION DISCLOSURE STATEMENT

Box PCT
Assistant Commissioner For Patents
Washington, DC 20231

Sir:

The citations enumerated on the list of references cited (modified Form PTO-1449) appended hereto may be material to the patentability of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. The Examiner is requested to make these citations of official record in this application by initialling the citations on the enclosed modified Form PTO-1449.

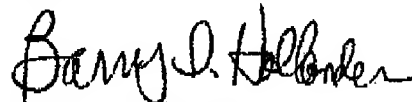
The pertinency of each reference listed in the enclosed form PTO-1449 is set forth in the December 14, 1999 Search Report for the corresponding International Application No. PCT/JP99/05451. A copy of the December 14, 1999 Search Report is enclosed to show the pertinency of the listed references. U. S. Patent No. 4,704,285 is an English language equivalent of JP 62-120323. U. S. Patent No. 5,455,268 is an English language equivalent of JP 6-312925. A copy of each U.S.

YAMAGUCHI et al. - National Stage Application of PCT/JP99/05451
Information Disclosure Statement

patent is enclosed. Copies of the listed Japanese references should have been supplied with the International Search Report. However, copies of the listed references will be furnished if requested.

This Information Disclosure Statement Under 37 CFR 1.56 is not to be construed: 1) as an admission that the information cited is, or is considered to be material to patentability as defined in 37 CFR 1.56(b), 2) as a representation that a search has been made, 3) that additional information that may be material to the patentability of this application does not exist, or 4) that any one or more of these citations constitute prior art under 35 USC 102.

Respectfully submitted,



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Enclosures:

Form PTO 1449 (1 sheet)
Copy of December 14, 1999 Search Report
from Int. Appln. No. PCT/JP99/05451
Copies of two U.S. patents

April 3, 2001

Sheet 1 of 1

FORM PTO-1449 INFORMATION DISCLOSURE CITATION (modified)		Atty Docket: FJIN-109		Serial No.: national stage of PCT/JP99/05451			
		Applicant: YAMAGUCHI et al.					
		Filing Date: Concurrently Herewith		Group: not yet assigned			
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
		4,704,285	November 1987	Alderman			
		5,455,268	October 1995	Watanabe et al.			
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
		6-312925	Nov. 8, 1994	Japan			
		10-226641	Aug. 25, 1998	Japan			
		62-120323	June 1, 1987	Japan			
		4-264022	Sept. 18, 1992	Japan			
		6-9407	Jan. 18, 1994	Japan			
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)							

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next